

# TRANSMITTAL FORM

JC474 U.S. PTO  
09/682516  
09/13/01

Electronic Version 1.0.2

Stylesheet Version: 1.0

Attorney Docket Number: GLO 2 0078

## Optical Wave Guide

First Named Inventor: Matthew Sommers

### SUBMITTED BY

Name: John P. Cornely

Registration Number: 41687

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P. Cornely

Date Signed: 20010913

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### Attached Files:

bibd-transmittal	20078submitapds.xml
fee-transmittal	20078submitfee.xml
patent-assignment	20078submitasgn.xml
declaration	Dec30001.tif
declaration	Dec30002.tif
declaration	Dec30003.tif
specification	20078.xml



Dec30003.tif

**CONFIDENTIAL**

C mments:

09682516.094304

# FEE TRANSMITTAL

Electronic Version 1.0.4

Stylesheet Version: 1.0

*Patent fees are subject to annual revisions on or about October 1st of each year.*

Large Entity

**TOTAL FEES AUTHORIZED: \$ 750**

The commissioner is hereby authorized to charge indicated processing and/or publication fees and credit any overpayments to:

Deposit Account Number: 06-0308



Deposit Account Name: Fay, Sharpe, Fagan, Minnich & McKee, LLP

Charge Any Additional Fee Required Under 37 C.F.R. Sections 1.16 and 1.17.

## SUBMITTED BY

Authorized Name: John P. Cornely  
Electronic Signature Mark: /s/John P. Cornely  
Date Signed: 20010913

## BASIC FILING FEE

Fee Description	Fee Code	Fee Paid
Utility Filing Fee	101	\$ 710

Subtotal For Basic Filing Fee: \$ 710

## EXTRA CLAIM FEES

	Fee Code	Fee	Extra Claims	Fee Paid
Total Claims: 19	103	\$ 18	0	\$ 0
Independent Claims: 3	102	\$ 80	0	\$ 0

Subtotal For Extra Claims Fees: \$ 0

**ADDITIONAL FEES**

Fee Description	Fee Code	Fee Paid
Recording Each Patent Assignment Per Property Fee	581	\$ 40

Subtotal For Additional Fees: \$ 40

0062516.0401  
TOTAL \$452960

Electronic Filing System (EFS) Data  
Electronic Patent Application Submission  
USPTO Use Only

EFS ID: 12373  
Application ID: 09682516  
Title of Invention: Optical Wave Guide  
First Named Inventor: Matthew Sommers  
Domestic/Foreign Application: Domestic Application  
Filing Date: null  
Effective Receipt Date: 2001-09-13  
Submission Type: Utility Patent Filing  
Filing Type: new-utility  
Confirmation Number: 0  
Attorney Docket Number: GLO 2 0078  
Digital Certificate Holder: cn=John P. Cornely, ou=Registered Attorneys, ou=Patent and Trademark Office, ou=Department of Commerce, o=U.S. Government, c=US  
Certificate Message Digest: ui1HQB8eANeqXQOq3Pc/og==  
Total Fees Authorized: \$750.0  
Payment Category: DA - Deposit Account  
Deposit Account Number: 60308  
Deposit Account Name: John P. Cornely



09682516-094304  
EFS



**UNITED STATES DEPARTMENT OF COMMERCE**  
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Washington, D.C. 20231

October 11, 2001

To : **FAY, SHARPE, FAGAN,  
MINNICH & MCKEE, LLP**  
1100 SUPERIOR AVENUE  
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CLEVELAND, OHIO 44114-2518

From : Office of Initial Patent Examination

Subject: Notice of-New Assigned Application Number

Attorney Docket No: **GD-26, GD-28, GLO 20078**  
Previously Assigned Application Number: **09/951,595**  
New Application Number: **09/682,516**

Applicant : **SOMMERS, MATHEW**  
Title : **OPTICAL WAVE GUIDE**  
Date : **09/13/01**

Through an administrative error the above identified application was assigned an incorrect application number. The new application number is listed in the heading above. Please correct your records and refer to the new application number in all future correspondence to the Patent and Trademark Office.

The Office regrets any inconvenience this situation has caused your office. If you have any questions about this matter, please contact Customer Service Center, Office of Initial Patent Examination Division, (703) 308- 1202.

*Michelle L. Tarrill*

09-14-01

#7A  
1/31/02



CERTIFICATE OF EXPRESS MAILING

I hereby certify that this Communication and documents referred to as enclosed therein are being deposited with the United States Postal Service on September 13, 2001 in an envelope as "Express Mail Post Office to addressee," Mailing Label Number EL852682195US, addressed to the Box Patent Application, Commissioner of Patents, Washington, D.C. 20231.

Iris E. Nauth

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of : SOMMERS, Mathew  
For : OPTICAL WAVE GUIDE  
Serial No. : 09/682,516  
Filed Electronically : September 13, 2001  
Examiner : Unknown  
Group Art Unit : Unknown  
Last Office Action : None  
Attorney Docket No. : GD-26, GD-28  
GLO 2 0078

Cleveland, Ohio  
September 13, 2001

COMMUNICATION

Box Patent Application  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sirs:

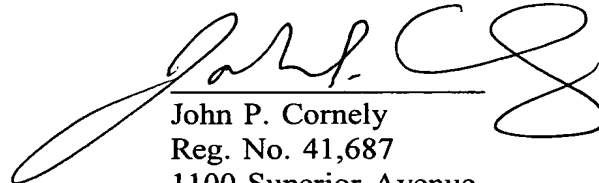
Applicant filed the above-referenced patent application with the United States Patent and Trademark Office (USPTO) today, September 13, 2001 via the Electronic Filing System (EFS).



A courtesy copy of the application (printed in both the conventional format and the Electronic Version 1.2.8 format) including the drawings, which represents the subject matter applicant intended to file via the EFS, is enclosed. Copies of the Assignment, Declaration, and the Acknowledgment Receipt from the EFS filing are also enclosed.

Respectfully submitted,

**FAY, SHARPE, FAGAN,  
MINNICH & MCKEE, LLP**

A handwritten signature in black ink, appearing to read "John P. Cornely", is written over a horizontal line.

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